



2023 SEAL OF GOOD LOCAL GOVERNANCE FOR BARANGAY (SGLGB)

MEMORANDUM CIRCULAR NO. 2023-103

Date: JUL 28, 2023

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Date:

Rev. No. 00

1. Background

The Seal of Good Local Governance for Barangay (SGLGB) is a performance assessment and recognition system that aims to give distinction to barangays with remarkable performance across various governance areas. It also intends to encourage barangays to continuously progress in delivering efficient, equitable and quality public services. It puts primacy to integrity and good performance as pillars of meaningful local autonomy and development.

Pursuant to the mandate of the DILG to oversee the provision of capacity development interventions for LGUs, facilitate institutionalization of standards, and develop performance incentive mechanisms to promote excellence in local governance, the Department of the Interior and Local Government (DILG) – National Barangay Operations Office (NBOO) has conducted two rounds of pilot testing to assess the appropriateness of the parameters developed in assessing barangay governance, gauge the readiness of barangays to fully participate in the assessment, identify potential challenges that might come up in the full implementation of the SGLGB and generate inputs from different stakeholders on the planned nationwide implementation of the SGLGB.

The first round of pilot testing of the SGLGB was conducted in 2019, wherein 1,029 barangays nationwide were subjected to pilot assessment, from which a total of 207, or 20% were able to submit complete documentary requirements for national validation. On the other hand, the second round of pilot testing was conducted in 2021, wherein 1,580 barangays nationwide, including BARMM, were assessed, and 549, or 35% barangays were declared as passers. Therefore, based on the two pilot runs, there is a significant increase in the percentage of barangays that were able to hurdle the acceptable level of performance.

For CY 2023, the Department shall roll-out the SGLGB to profile and assess the performance of the barangays, covering 2022 as the year under review.

Further, results of the SGLGB shall serve as one of the bases for the development of capacity development interventions, pursuant to DILG MC No. 2021-067, "Adoption of a Capacity Development (CAPDEV) Framework in the Planning, Design and Implementation for LGUs".

Lastly, the 2023 SGLGB implementation shall endeavor "ONE AUDIT" for barangays, in response to the clamor of the DILG field offices and barangays to integrate to the SGLGB the various Barangay-Based Institutions (BBIs) functionality audits (i.e. Barangay Development Council (BDC), Barangay Peace and Order Committee (BPOC), Barangay Council for the Protection of Children (BCPC), and Barangay Violence Against Women (VAW) Desk).

2. Purpose

This Memorandum Circular prescribes the general guidelines for the implementation of the 2023 SGLGB.

3. Legal Bases

- 3.1.** Section 5, Article II of the 1987 Constitution of the Republic of the Philippines declares that the maintenance of peace and order, the protection of life, liberty, and property, and the promotion of the general welfare are essential for the enjoyment by all the people of the blessings of democracy;
- 3.2.** Section 1, Executive Order No. 138 entitled: Full Devolution of Certain Functions of the Executive Branch to Local Governments, Creation of a Committee on Devolution, and for Other Purposes, states that National Government (NG) is fully committed to the policy of decentralization enshrined in the Constitution and relevant laws which are aimed at 1) developing capabilities of local governments to deliver basic social services and critical facilities to their constituents, increase productivity and employment, and promote local and economic growth; and, 2) ensuring accountability, competence, professionalism and transparency of local leaders through the development of institutional systems that uphold good governance and strengthen their capacities for managing public resources;
- 3.3.** Section 3 (h) and 3 (m), and Section 16 of Republic Act No. 7160, otherwise known as the Local Government Code (LGC) of 1991, provide that there shall be a continuing mechanism to enhance local autonomy not only by legislative enabling acts but also by administrative and organizational reforms, that the national government shall ensure that decentralization contributes to the continuing improvement of the performance of local government units (LGUs) and the quality of community life, that each LGU shall exercise its powers essential to the promotion of the general welfare;
- 3.4.** Section 17 (b) (2) of the LGC of 1991, declares the provision of the minimum basic services and facilities in the barangay;
- 3.5.** Section 5 (a) and 5 (b) of Rule II of the Implementing Rules and Regulations (IRR) of Republic Act No. 6975, otherwise known as the Department of the Interior and Local Government Act of 1990, mandate the Department to assist the President in the exercise of general supervision over local governments and advise the President in the promulgation of policies, rules, regulations, and other issuances on the general supervision over local governments and on public order and safety;

- 3.6.** Section 5 of the SGLG Act of 2019 states the powers and functions of the Council of Good Local Governance (CGLG), which include conducting an evaluation of the performance of LGUs for purposes of determining the need for enhancing or continuing the SGLG in barangays, and recommending any amendatory legislation; and
- 3.7.** Section 2 of Rule V of the IRR of the SGLG Act of 2019 provides that DILG-BLGS shall oversee the SGLG assessment in the provinces, cities, and municipalities, while the DILG-NBOO shall oversee the assessment in the barangays.

4. Scope/Coverage

This Memorandum Circular shall cover:

- 4.1.** All Provincial Governors, City and Municipal Mayors, Punong Barangays, Liga ng mga Barangay (LnB) sa Pilipinas, DILG Regional, Provincial, and HUC/ICC Directors, Minister of Local Government, Bangsamoro Autonomous Region in Muslim Mindanao (MLG-BARMM) and City/Municipal Local Government Operations Officers.
- 4.2.** The NBOO SGLGB National Working Team (NWT), composed of the Research and Profiling Division (RPD), which shall serve as the overall lead in the implementation of the program, and representatives from the Community Capacity Development Division (CCDD) and Citizens' Affairs and Political Development Division (CAPDD), as its members; and
- 4.3.** The **42,046¹** barangays nationwide that shall participate in the 2023 SGLGB assessment, with 2022 as the performance year under review.

5. Policy Content/Guidelines

5.1. Assessment Criteria

For the CY 2023 SGLGB, the principle “**3+1**” applies, where a barangay needs to **pass ALL of the three (3) Core Governance Areas**, namely: 1) Financial Administration and Sustainability; 2) Disaster Preparedness; and, 3) Safety, Peace and Order; **AND at least ONE of the Essential Governance Areas**, namely: 1) Social Protection and Sensitivity; 2) Business-Friendliness and Competitiveness; and 3) Environmental Management.

A. CORE GOVERNANCE AREAS - are the set of indicators that serve as the foundation and primary support in fulfilling the objective of SGLGB to ensure that good governance is reflected in the performances of each and every barangay in the country, most especially in providing the most relevant and critical public service deliveries to its constituents. This sets the standard for validating the effectiveness and efficiency of the most important programs and projects

¹ Total number of barangays per Philippine Standard Geographic Code (PSGC) as of June 2022

implemented in their respective jurisdictions, in terms of ensuring financial accountability, maintenance of peace and order, and protection of life and property.

5.1.1 Financial Administration and Sustainability – This refers to a barangay's outstanding practice of accountability and transparency in financial administration by adhering with accounting and auditing standards, and compliance with the Barangay Full Disclosure Policy (BFDP), among others.

5.1.2 Disaster Preparedness – This refers to a barangay's proactive stance before, during and after disaster, through actions such as development and/or implementation of appropriate program and plans on disaster risk reduction and management; building the competencies of concerned personnel; and ensuring operational readiness with the availability of equipage, supplies and other resources intended for early warning and/or response.

5.1.3 Safety, Peace and Order – This refers to a barangay's noteworthy performance in terms of maintaining peace and order in the community through the implementation of activities and provision of support mechanisms to ensure the protection of constituents from the threats to life, health, and security.

B. ESSENTIAL GOVERNANCE AREAS - are the set of indicators that plays a vital role in pursuing and maintaining sustainable development in the barangays nationwide. These indicators are significant in providing basic social welfare services, promoting business and income-generating activities in the barangay and protecting the environment.

5.1.4 Social Protection and Sensitivity – This refers to a barangay's high sensitivity to the needs of disadvantaged/challenged sectors like women, children, senior citizens, indigenous peoples (IPs), and persons with disability (PWDs), among others, the provision of support to basic education, access to social welfare services, and participation of the sector(s) in local special bodies.

5.1.5 Business-Friendliness and Competitiveness – This refers to a barangay's remarkable efforts in encouraging business and employment, through the systems, structures and/or legislation in place to support the promotion of such in the locality.

5.1.6 Environmental Management – This refers to a barangay's conscientious preservation of the integrity of the environment by complying, at the minimum, with the provisions of Republic Act No. 9003, otherwise known as the Ecological Solid Waste Management Act of 2000.

5.2 Assessment Forms and Tools

Only the prescribed forms shall be used in the data gathering, assessment, validation and certification. Hence, alteration/modification of the forms is highly discouraged for uniformity and accountability. These forms are the following:

- 5.2.1** SGLGB Form No. 1 – Barangay Documentary Checklist and Certification Page
- 5.2.2** SGLGB Form No. 2 – Data Capture Form (DCF)
- 5.2.3** SGLGB Form No. 3 – Technical Notes
- 5.2.4** SGLGB Form No. 4 – Component City/Municipality Consolidated Performance Assessment Form (CC/M CPAF)
- 5.2.5** SGLGB Form No. 5 - Highly Urbanized City/ Independent Component City Consolidated Performance Assessment Form (HUC/ICC CPAF)
- 5.2.6** SGLGB Form No. 6 – Provincial Consolidated Performance Assessment Form (PCPAF)
- 5.2.7** SGLGB Form No. 7- Regional Consolidated Performance Assessment Form (RCPAF)
- 5.2.8** SGLGB Form No. 8 - National Consolidated Performance Assessment Form (NCPAF)

5.3 SGLGB ASSESSMENT PLATFORM

- 5.3.1** Assessment results shall be encoded only in the official SGLGB Google Assessment Sheet to be shared by the NBOO.
- 5.3.2** Sharing of SGLGB Google Drive link to DILG Provincial, City and Municipal Field Offices shall be done by the DILG Regional Offices.
- 5.3.3** Encoded results in the SGLGB Google Drive shall match the Regional Consolidated Performance Assessment Form (RCPAF) duly signed by the Regional Director and submitted to the NBOO.

5.4 Structures, Compositions, and Roles and Responsibilities

- 5.4.1** All Punong Barangays shall ensure the submission of the Documentary Checklist (SGLGB Form 1) and all required Means of Verification (MOVs) to the SGLGB Assessor (City Director or City/Municipal Local Government Operations Officer (C/MLGOO)), in hard copies. Moreover, all barangay secretaries shall ensure adherence to the MOV Packaging Guide to facilitate efficient and orderly evaluation of MOVs by the assessment teams.
- 5.4.2** **Component City and Municipal Assessment** - The City/Municipal Local Government Operations Officer (C/MLGOO) shall serve as the SGLGB Assessor. He/she may mobilize other DILG organic personnel in the conduct of the assessment, especially in C/Ms with numerous barangays. Further, the C/MLGOO may commission the assistance of LGU personnel assigned to their office in the conduct of the assessment to provide administrative and other logistical support services.

The C/MLGOO shall have the following roles and responsibilities:

- 5.4.2.1** Ensure the completeness of all MOVs of barangay entries based on the submitted Documentary Checklist;
- 5.4.2.2** Assess the performance of the barangays based on the indicators in the Data Capture Form (DCF, SGLGB Form 2) vis-à-vis the submitted Means of Verification (MOVs) of the barangays;
- 5.4.2.3** Accomplish the DCF (SGLGB Form 2);
- 5.4.2.4** Encode the assessment data of all barangays in the SGLGB Google Sheet based on the DCF;
- 5.4.2.5** Accomplish the Component City/Municipal Consolidated Performance Assessment Form (CC/M CPAF, SGLGB Form 4);
- 5.4.2.6** Submit the signed CC/M CPAF to the Provincial Performance Assessment Team (PPAT), except for the Municipality of Pateros in the National Capital Region (NCR), which shall submit directly to the Regional Performance Assessment Team (RPAT); and
- 5.4.2.7** Ensure the completion of the assessment, certification and encoding within the scheduled timeframe.

5.4.3 Highly-Urbanized City/Independent Component City (HUC/ICC) Assessment - The City Director shall serve as the SGLGB Assessor. He/she may mobilize other DILG organic personnel in the conduct of the assessment, especially in cities with numerous barangays. Further, the City Director may commission the assistance of LGU personnel assigned to their office in the conduct of the assessment to provide administrative and other logistical support services.

The City Director shall have the following roles and responsibilities:

- 5.4.3.1** Ensure the completeness of all MOVs of barangay entries based on the submitted Documentary Checklist;
- 5.4.3.2** Assess the performance of the barangays based on the indicators in the Data Capture Form (DCF, SGLGB Form 2) vis-à-vis the submitted Means of Verification (MOVs) of the barangays;
- 5.4.3.3** Accomplish the DCF (SGLGB Form 2);
- 5.4.3.4** Encode the assessment data of all barangays in the SGLGB Google Sheet based on the DCF;
- 5.4.3.5** Accomplish the HUC/ICC Consolidated Performance Assessment Form (HUC/ICC CPAF, SGLGB Form 5);
- 5.4.3.6** Submit the signed HUC/ICC CPAF to the Regional Performance Assessment Team (RPAT); and
- 5.4.3.7** Ensure the completion of the assessment, certification and encoding within the scheduled timeframe.

5.4.4 Provincial Performance Assessment Team (PPAT)

5.4.4.1 Composition of PPAT:

Team Leader

- DILG Provincial Director

Assistant Team Leader

- Provincial Planning and Development Officer/Coordinator

Members

- Liga ng mga Barangay (LnB) Provincial Chapter President
- President of the Panlalawigang Pederasyon ng mga Sangguniang Kabataan (SK)
- 3 representatives from CSOs/NGOs accredited by the province

Secretariat

- Provincial Planning and Development Office

5.4.4.2 Roles and responsibilities of PPAT:

5.4.4.2.1 Validate the data of barangays based on the assessment of CC/M SGLGB Assessor to ensure its correctness;

5.4.4.2.2 Approve and sign the Provincial Consolidated Performance Assessment Form (PCPAF, SGLGB Form 6); and

5.4.4.2.3 Ensure the completion of the provincial validation within the scheduled timeframe

5.4.4.3 Roles and Responsibilities of the Provincial Planning and Development Office as Secretariat of the PPAT:

5.4.4.3.1 Present to the PPAT, during the validation meeting, all the submitted CC/M CPAF (SGLGB Form 4) together with the accomplished DCF (SGLGB Form 2) and MOVs;

5.4.4.3.2 Facilitate the accomplishment and signing of the PCPAF (SGLGB Form 6); and

5.4.4.3.3 Submit the signed PCPAF to the RPAT.

5.4.5 Regional Performance Assessment Team (RPAT)

5.4.5.1 Composition of RPAT:

Team Leader

- Regional Director/MILG BARMM

Assistant Team Leader

- Chief of the Local Government Monitoring and Evaluation Division (LGMED) for the DILG ROs/Chief of the Barangay and Community Affairs Division (BCAD) for the MLG-BARMM

Members

- LnB Regional Chapter President
- 1 Representative from a CSO accredited by the region.

Secretariat

- DILG Regional SGLGB Focal Person/s

5.4.5.2 Roles and Responsibilities of the RPAT:

- 5.4.5.2.1** Review/vet the SGLGB results based on SGLGB Forms 5 (HUC/ICC CPAF) and 6 (PCPAF), submitted by the HUC/ICC SGLGB Assessor and PPAT, respectively, to ensure its correctness;
- 5.4.5.2.2** Approve the Regional Consolidated Performance Assessment Form (RCPAF, SGLGB Form 7) prior to submission to the National Quality Committee (NQC) thru the National Working Team (NWT); and
- 5.4.5.2.3** Ensure the completion of the regional validation within the scheduled timeframe.
- 5.4.5.2.4** Serve as the primary Redress Committee for the SGLGB to address grievances and appeals arising from the assessment and validation of barangay data.

The SGLGB gives primacy to integrity and accountability in local governance, hence, in case a barangay has been found to receive a disclaimer, adverse or qualified opinion/observation from the Commission on Audit (COA) during the assessment period, said barangay will be officially discredited from the assessment upon the recommendation of the RPAT.

Moreover, falsification of public documents submitted during assessment, may be taken as ground for disqualification, upon deliberation and approval of the RPAT. The persons responsible

maybe subjected to disciplinary actions of appropriate authority.

Decisions of the RPAT regarding grievances and appeals arising from the assessment and validation of barangay data are final and are no longer appealable to the National Quality Committee (NQC).

5.4.5.3 Roles and Responsibilities of the DILG Regional SGLGB Focal Person/s as Secretariat of the RPAT:

- 5.4.5.3.1** Present to the RPAT, during the validation meeting, the SGLGB Forms 5 and 6, submitted by the HUC/ICC SGLGB Assessor and PPAT, respectively;
- 5.4.5.3.2** Facilitate the accomplishment and signing of the Regional Consolidated Performance Assessment Form (RCPAF, SGLGB Form 7); and
- 5.4.5.3.3** Submit the signed RCPAF to the NQC, thru the NWT.

5.4.6 National Working Team (NWT)

5.4.6.1 Composition of NWT:

Team Leader

- RPD Division Chief

Members

- All RPD personnel

In addition, three (3) representatives each from the Community Capacity Development Division (CCDD) and Citizens' Affairs and Political Development Division (CAPDD), respectively, shall be designated as members of the NWT, but shall only be involved in the conduct of the national assessment, on-site visits and provision of technical and administrative support to the NQC.

5.4.6.2 Roles and Responsibilities of NWT:

- 5.4.6.2.1** Develop SGLGB policies, assessment tools and information system;
- 5.4.6.2.2** Conduct capacity building activities for SGLGB focal persons and NQC;
- 5.4.6.2.3** Conduct national assessment and on-site visits to random pilot barangays nationwide, if necessary;

- 5.4.6.2.4** Closely coordinate with the DILG regional offices and MLG-BARMM on the status of the SGLGB implementation;
- 5.4.6.2.5** Monitor and evaluate the overall performance of the 2023 SGLGB rollout;
- 5.4.6.2.6** Take appropriate actions on implementation issues and concerns, if any;
- 5.4.6.2.7** Provide technical and administrative support to the NQC; and
- 5.4.6.2.8** Prepare the 2023 SGLGB National Report for submission to the NQC and Secretary of the Interior and Local Government (SILG).

5.4.7 National Quality Committee (NQC)

5.4.7.1 Composition of the NQC

Chairperson

- Undersecretary for Barangay Affairs

Vice-Chairperson

- Liga ng mga Barangay (LnB) National President

Members

- Director of the National Barangay Operations Office (NBOO)
- Director of the Bureau of Local Government Supervision (BLGS)
- Director of the Bureau of Local Government Development (BLGD)

5.4.7.2 Roles and Responsibilities of the NQC:

- 5.4.7.2.1** Convene and participate in meeting/s, conference/s, deliberation/s, and other activities related to the 2023 SGLGB implementation;
- 5.4.7.2.2** Certify the National Consolidated Performance Assessment Form (NCPAF, SGLGB Form 8) prepared by the SGLGB NWT, which contains the official list of barangays that were able to meet the requirements of the 2023 SGLGB;
- 5.4.7.2.3** Indorse the NCPAF to the Secretary of the Interior and Local Government, for his final approval; and

- 5.4.7.2.4** Issue supplemental policies, through a resolution, in relation to the implementation of 2023 SGLGB, if needed.

5.5 Phases of Implementation, Schedule and Responsibilities

To guide the barangays and those involved in the implementation, the following prescribes the assessment process:

5.5.1 Capacity Building on SGLGB Implementation (April to June 2023)

5.5.1.1 National Orientation for Regional/Provincial/HUC/ICC SGLGB Focal Persons - Each DILG Regional/Provincial/HUC/ICC Offices shall send their SGLGB Focal Persons or representatives, to the online National Orientation on the 2023 SGLGB implementation.

5.5.1.2 Orientation for the City/Municipal SGLGB Assessors by the DILG Regional/Provincial Offices - The City Directors and C/MLGOOs as SGLGB Assessors shall attend the orientation on 2023 SGLGB to be conducted by the DILG Regional/Provincial Offices.

5.5.1.3 Orientation for the NQC and NWT - The NQC and NWT shall attend the Orientation on the 2023 SGLGB implementation.

5.5.2 Data Gathering, Assessment, Certification and Online Data Entry (July to September 2023) - The barangays shall ensure the submission of the assessment documents to the HUC/ICC/CC/M SGLGB Assessors. As a strategy to ensure timely encoding of assessment data, the SGLGB Assessors may opt to engage more SGLGB encoders and shall ensure the submission of all assessment data to the SGLGB Google Drive not later than end of September 2023.

5.5.3 Validation, Review and Certification (October to November 2023) - Validation shall be undertaken by the provincial level for barangays of component cities and municipalities. On the other hand, the review of validated barangay entries of the provinces and validation of barangay entries of HUCs/ICCs shall be undertaken by the regional level. The DILG Regional and Provincial Offices shall monitor and ensure the submission of all assessment data to the SGLGB Google Drive.

5.5.4 National Calibration and Validation (December 2023) - Based on the shortlisted potential barangay passers indorsed by the RPAT, the NWT, together with the NQC, shall conduct the national calibration and validation. On-site visits to random potential barangay passers may be conducted, subject to the availability of funds from the Central Office. All regional and national data gathered shall be considered final. Hence, requests for data modification shall not be entertained.

- 5.5.5 Final Selection, Indorsement of 2023 SGLGB Passers and Announcement of Results (January 2024)** - Based on the final recommendation by the NQC, the Official List of 2023 SGLGB Passers shall be determined and indorsed, for approval, to the Secretary of the Interior and Local Government, through the Undersecretary for Barangay Affairs. The said list shall be published in the DILG official website and communicated to the DILG ROs.

For the 2023 SGLGB, a Barangay Governance Assessment Report (BGAR) will be provided to each assessed barangay, reflecting the 2023 SGLGB final results. Also, certificates of recognition will be given by the DILG Central Office to SGLGB passers. The provinces, cities and municipalities, are encouraged to give rewards or incentives to their respective barangay passers.

- 5.5.6 Conduct of Exit Conferences (February 2024)** - The SGLGB Assessors shall conduct exit conferences with the barangays, municipalities, cities and provinces to serve as a venue for dialogue where SGLGB results may be communicated and areas for improvement may be identified and agreed upon for LGU action.

The cities and municipalities, in the exercise of general supervision over their component barangays, shall provide necessary capacity development interventions to their barangays based on the SGLGB results.

6 Monitoring and Submission of Reports and other Requirements

- 6.1 The DILG Regional Offices and MLG-BARMM** shall oversee the overall performance assessment and monitoring of LGUs within their respective jurisdictions, through the Division Chiefs of LGMED and BCAD, with the assistance of the designated SGLGB Regional and Provincial Focal Persons. It shall see to it that the process and requirements are faithfully adhered to from data collection to announcement of national passers. They shall also be responsible for the tracking and reporting the utilization of fund sub-allotments (if applicable), and keeping electronic copies of all assessment-related documents. To facilitate these tasks, regional folders and monitoring sheets shall be made available in the SGLGB Google Drive.
- 6.2 The SGLGB National Working Team**, as the overall lead in the SGLGB implementation shall monitor its progress and shall take appropriate action/s to address any program-related concern/s. It shall be responsible in updating the top management on the status and results of the SGLGB, as well as, in providing feedback to its regional counterparts and partner agencies. Electronic mail and social media shall be utilized to expedite coordination with other stakeholders; and
- 6.3 The SGLGB National Quality Committee** shall be responsible for the final stage of quality assurance of the SGLGB. The results shall be presented by the

NWT to the said Committee for final vetting and indorsement to the SILG. The results shall then be indorsed by the NQC to the SILG for approval.

7 Observance of Minimum Health Protocols

All assessment activities may be done online or face-to-face, provided that all those involved shall observe the minimum health protocols amid the COVID-19 pandemic.

8 Repealing Clause

DILG Memorandum Circular No. 2021-074 dated 05 July 2021 and other related issuances which are inconsistent herewith are hereby amended or repealed accordingly.

9 Policy Review and Evaluation

This issuance shall be periodically reviewed to address gaps in the effective implementation of the SGLGB. If necessary, further guidance, through the issuance of official communications may be adopted, in relation to the gaps encountered while undertaking the program implementation.

10 Annex

Annex 1: 2023 SGLGB Assessment Criteria for Barangays

11 Effectivity

This Memorandum Circular shall take effect immediately.

12 Approving Authority


ATTY. BENJAMIN C. ABALOS, JR.
Secretary



13 Feedback

For related queries, kindly contact the National Barangay Operations Office – Research and Profiling Division (NBOO-RPD) at telephone number (02) 88763454 local 4406, or email address: sglgforbarangay2019@gmail.com.



2023 Seal of Good Local Governance for Barangay Assessment Criteria for Barangays

To qualify for the CY 2023 SGLGB, a barangay must meet the following minimum requirements:

Core Governance Area No. 1: Financial Administration and Sustainability	
Indicator	Minimum Requirement
1.1 Compliance with the Barangay Full Disclosure Policy (BFDP) Board	1.1.1 Posted the following CY 2022 financial documents in the BFDP board, pursuant to DILG MC No. 2014-81 and DILG MC No. 2022-027: a) Barangay Financial Report b) Barangay Budget c) Summary of Income and Expenditures d) 20% Component of the NTA Utilization e) Annual Procurement Plan or Procurement List f) List of Notices of Award (4th Quarter of CY 2022) g) Itemized Monthly Collections and Disbursements (October-December)
	1.1.2 Accomplished prescribed Barangay Financial Report (BFR) form with the "stamp receipt" of the Office of the C/M Accountant.
1.2 Innovations on revenue generation or exercise of corporate powers	1.2.1 Increase in local resources in 2022.
1.3 Approval of the Barangay Budget on the Specified Timeframe	1.3.1 Presence of a Barangay Appropriation Ordinance approved on or before December 31, 2021. <i>(Consideration: Approval until March 31, 2022)</i>
1.4 Allocation for Statutory Programs and Projects as Mandated by Laws and/or Other Issuances	1.4.1 With allocated funds for the following statutory programs and projects: a) Senior Citizens (per R.A. 9994 and DBM Local Budget Memorandum No. 82); b) Persons with Disability (per R.A. 7277 and DBM Local Budget Memorandum No. 82); c) At least 20% of the NTA is allocated for development programs (DILG-DBM JMC No. 2017-01); d) At least 1% of the NTA for the Barangay Council for the Protection of Children (BCPC) per RA 9344; and, e) At least 5% from total budget allocated for GAD plans and programs per RA 9710.
1.5 Posting of the Barangay Citizens' Charter (CitCha)	1.5.1 Barangay Citizens' Charter posted at a conspicuous place (e.g., barangay hall, market, transport terminal, or multi-purpose hall)

1.6 Release of the Sangguniang Kabataan (SK) Funds by the Barangay	1.6.1 Compliance with Section 20 of the SK Reform Act of 2015 and Item 3.2 of DBM-DILG-NYC JMC No. 1, s. 2019 dated January 23, 2019: 1.6.1.1 If the barangay has Barangay-SK Agreement for the annual or semestral or quarterly release/deposit: a) Copy of the written agreement; and b) Proof of deposit reflecting the Account No./ Name of Barangay SK (1 deposit slip for annual, 2 deposit slips for semestral, 4 deposit slips for quarterly) 1.6.1.2 If the barangay does not have Barangay-SK Agreement but with current account: a) 12 monthly deposit slips reflecting the Account No./ Name of Barangay SK 1.6.1.3 If the barangay is without SK Officials or with SK Officials but no quorum and/or No SK Bank Account: a) Proof of transfer of the 10% SK fund to the trust fund of the barangay such as deposit slip or Official Receipt or corresponding legal form/document issued by the city/municipal treasurer if the barangay opted that the corresponding SK fund be kept as trust fund in the custody of the C/M treasurer. 1.6.2 Presence of Approved Annual Barangay Youth Investment Program (ABYIP) for 2022
1.7 Conduct of Barangay Assembly for CY 2022 (2nd Semester)	1.7.1 Conducted the 2nd semester Barangay Assembly for CY 2022 (via any modality, i.e., online, face-to-face, hybrid).
Core Governance Area No. 2: Disaster Preparedness	
Indicator	Minimum Requirement
2.1 Functionality of the Barangay Disaster Risk Reduction and Management Committee (BDRRMC)	2.1.1. Established BDRRMC with its composition compliant to the provisions of NDRRMC, DILG, DBM, and CSC JMC No. 2014-01; 2.1.2. Approved Barangay Disaster Risk Reduction and Management (BDRRM) Plan covering CY 2022; 2.1.3. Allocation of 5% of the Estimated Revenue from Regular Sources as BDRRM Fund; AND 2.1.4. At least 50% accomplishment of the physical targets in the BDRRM Plan OR At least 50% fund utilization of the 70% component of CY 2022 BDRRMF - Preparedness component as of December 31, 2022.

2.2 Extent of Risk Assessment and Early Warning System (EWS)	2.2.1. Conducted an activity in relation to Risk Assessment in the barangay not earlier than 2019; 2.2.2. A certified barangay risk/hazard map; AND 2.2.3. An established Early Warning System for the top two (2) hazards present in the barangay.
2.3 Extent of Preparedness For Effective Response And Recovery	2.3.1 A barangay must have a permanent or alternate evacuation center; AND 2.3.2 A barangay must have the following disaster supplies/equipment: a) Communication equipment (i.e., 2 way radio, mobile phone) b) Rescue vehicle/Barangay patrol c) Generator set/alternative sources of energy d) First aid kit e) Flashlight with batteries f) Personal Protective Equipment (PPE)
Core Governance Area No. 3: Safety, Peace and Order	
Indicator	Minimum Requirement
3.1 Functionality of the Barangay Anti-Drug Abuse Council (BADAC)	3.1.1. Organized BADAC with its composition and appropriate committees (Committees on Operations and on Advocacy) compliant to DILG-DDB JMC No. 2018-01; 3.1.2. Established Rehabilitation Referral Desk with Designated Duty Officer; 3.1.3. Allocation of substantial amount for anti-illegal drugs initiative; 3.1.4. Approved BADAC Plan of Action covering CY 2022; 3.1.5. Submission of Consolidated Information Report (CIR) to CADAC/MADAC and Local PNP Unit; AND 3.1.6 At least 50% accomplishment of the physical targets in the BADAC Plan of Action OR at least 50% fund utilization rate of the PAPs in the CY 2022 BADAC Budget
3.2 Functionality of the Barangay Peace and Order Committee (BPOC)	3.2.1. Organized BPOC with its composition compliant to the provisions of EO 366 s. of 1996; 3.2.2. Approved Barangay Peace and Order and Public Safety (BPOPS) Plan in accordance to DILG MC 2017-142 covering CY 2022; AND 3.2.3 At least 50% accomplishment of the physical targets in the BPOPS Plan OR At least 50% fund utilization rate of the CY 2022 BPOPs Budget
3.3 Functionality of the Lupong Tagapamayapa (LT)	3.3.1. Organized Lupong Tagapamayapa; 3.3.2. Systematic maintenance of records of cases; 3.3.3. Conducted monthly meetings for the administration of the Katarungang Pambarangay (KP); AND 3.3.4. Attendance of LT to KP training or seminar not earlier than 2019
3.4 Organization and Strengthening Capacities of Barangay Tanod	3.4.1 Organized Barangay Tanod; AND 3.4.2 Attendance of Barangay Tanod to necessary training not earlier than 2019.
3.5 Barangay Initiatives During Health Emergencies	3.5.1 Organized Barangay Health and Emergency Response Team (BHERT) with its composition compliant to the provisions of DILG MC No. 2020-023; AND

	3.5.2 Poster or tarpaulin containing the active telephone and/or cellphone Numbers of the Barangay, Punong Barangay and BHERT Members posted in conspicuous public places within the barangay jurisdiction.
3.6 Conduct of Monthly Barangay Road Clearing Operations (BaRCO)	3.6.1 Conducted BaRCO on a monthly basis in 2022.
Essential Governance Area 1: Social Protection and Sensitivity	
Indicator	Minimum Requirement
4.1 Functionality of Barangay Violence Against Women (VAW) Desk	4.1.1. Established Barangay VAW Desk and designated Barangay VAW Desk Officer; 4.1.2 Attendance of the Barangay VAW Desk Officer to at least one (1) training/orientation related to gender-sensitive handling of VAW Cases not earlier than 2019; 4.1.3 Approved 2022 Barangay Gender and Development (GAD) Plan and Budget; 4.1.4 Submission of Monthly Accomplishment Reports on the incidence of VAW and violence against children (VAC) to C/MSWDO and C/MLGOO within 10 working days of the ensuing month (stamp received), pursuant to the Advisory dated March 3, 2021; <i>(Consideration: submission of 4th Quarter Report of 2022 on the incidence of VAW and VAC to C/MSWDO and C/MLGOO)</i> 4.1.5 Updated database on VAW cases reported to the barangay; AND 4.1.6 At least 50% accomplishment of the physical targets in the GAD Plan, OR At least 50% fund utilization rate of the CY 2022 GAD Budget.
4.2 Access to Health and Social Welfare Services in the Barangay	4.2.1. Presence of a Barangay Health Station/Center; <i>(Consideration: Clustered Health Station/Center accessed by several barangays in a city/municipality)</i> 4.2.2. Appointment of a Barangay Health Worker (BHW); 4.2.3. Appointment of a Barangay Nutrition Scholar (BNS); AND 4.2.4. Availability of health services in the BHS/C;
4.3 Functionality of the Barangay Development Council (BDC)	4.3.1. Organized BDC with its composition compliant to Section 107 of RA 7160; 4.3.2. Conduct of public hearings/ barangay assemblies for public consultation; 4.3.3 Approved Barangay development Plan covering CY 2022; AND 4.3.4 At least 50% accomplishment of the physical targets in the BDP OR At least 50% fund utilization rate of the CY 2022 BDP Budget.
4.4 Implementation of the Kasambahay Law	4.4.1 Kasambahay Desk with designated Kasambahay Desk Officer (KDO) ; AND 4.4.2 Maintenance/updating of a Kasambahay Masterlist.
4.5 Functionality of the Barangay Council for the Protection of Children (BCPC)	4.5.1 Organization of the BCPC with its composition compliant to the provisions of DILG MC No. 2021-039; 4.5.2 Attendance of the Members of the BCPC to at least one (1) training/orientation related to their functions not earlier than 2019;

	4.5.3 Approved BCPC Annual Work and Financial Plan; 4.5.4 Database on Children disaggregated by age, sex, ethnicity, with or without disabilities, Out-of-School Children and Youth (OSCY), etc. updated as of December 31, 2022; 4.5.5 Updated Localized Flow Chart of Referral System not earlier than 2019; AND 4.5.6 At least 50% completion rate on PPAs in the BCPC Plan, OR At least 50% utilization rate of CY 2022 BCPC AWFP Budget.
4.6 Mechanism for Gender and Development (GAD)	4.6.1. Organized Barangay GAD Focal Point System
4.7 Maintenance of Updated Record of Barangay Inhabitants (RBIs)	4.7.1. Updated RBI for two semesters of CY 2022
4.8 Functionality of the Barangay Nutrition Committee (BNC)	4.8.1. Organized Barangay Nutrition Committee (BNC); 4.8.2. Approved 2022 Barangay Nutrition Action Plan (BNAP); 4.8.3. Decrease in Malnutrition rate from the previous year; AND 4.8.4. At least 50% accomplishment of the physical targets in the BNAP OR At least 50% fund utilization rate of the CY 2022 BNAP Budget.
Essential Governance Area 2: Business-Friendliness and Competitiveness	
Indicator	Minimum Requirement
5.1 Power to Levy Other Taxes, Fees, or Charges	5.1.1 Enacted Barangay Tax Ordinance pursuant to Sec. 129 of the LGC.
5.2 Compliance to Section 11 of RA 11032 of the Ease of Doing Business Law	5.2.1. Enacted Barangay Ordinance relative to Barangay Clearance fees on business permit and locational clearance for building permit pursuant to DILG MC No. 2019-177; AND 5.2.2. Approved resolution authorizing the City/Municipal Treasurer to collect fees for Barangay Clearance for Business permit and locational clearance purposes pursuant to DILG MC No. 2019-177.
5.3 Issuance of Barangay Clearance not covered by DILG MC No. 2019-177 such as: residency, indigency, etc, within seven (7) working days	5.3.1. Presence of a Citizens' Charter on the issuance of barangay clearance posted in the barangay hall.
Essential Governance Area 3: Environmental Management	
Indicator	Minimum Requirement
6.1 Functionality of the Barangay Ecological Solid	6.1.1. Organized BESWMC with composition compliant to DILG MC No. 2018-112;

Waste Management Committee (BESWMC)	6.1.2. Approved Solid Waste Management Program/Plan with corresponding fund allocation; 6.1.3. Attendance of BESWMC to necessary training related to promoting environmental protection, preservation and awareness not earlier than 2019; AND 6.1.5. At least 50% completion rate on PPAs in the BESWMC Plan, OR At least 50% utilization rate of CY 2022 BESWMC Budget.
6.2 Existence of a Solid Waste Management Facility Pursuant to R.A. 9003	6.2.1. Presence of a Materials Recovery Facility (MRF)/ Materials Recovery System (MRS)
6.3 Provision of Support Mechanisms for Segregated Collection	6.3.1. Enacted Barangay Ordinance or similar issuance on segregation of wastes at-source